

2635

+ #4

PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Please type a plus sign (+) inside this box → ☒**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/905,432
Filing Date	07/13/2001
First Named Inventor	Hayes et al.
Group Art Unit	2635
Examiner Name	
Attorney Docket Number	81230.62US3

Total Number of Pages in This Submission

5

RECEIVED  
SEP 27 2001  
Technology Center 2600

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Small Entity Statement☐ Request for Refund☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Additional Enclosure(s)  
(please identify below):

- Form 1449

- copies of 12 U.S. patents and  
publication

- return postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Gary R. Jarosik

Signature

Date

September 17, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 17, 2001

Typed or printed name

Lisa Lyle

Signature

Date

September 17, 2001

+ Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Hayes et al. )  
Serial No.: 09/905,432 ) Examiner: not yet assigned  
Filed: July 13, 2001 ) Group Art Unit: 2635  
Title: SYSTEM AND METHOD FOR )  
USING A HAND HELD DEVICE TO ) Docket No.: 81230.62US3  
DISPLAY A READABLE )  
REPRESENTATION OF AN AUDIO )  
TRACK )

**RECEIVED**  
SEP 27 2001  
Technology Center 2600

**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b)(1))**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, pursuant to 37 C.F.R. §1.56, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

**RECEIVED**  
SEP 24 2001  
TC 2800 MAIL ROOM

This statement should be considered because it is submitted, pursuant to 37 C.F.R. §1.97(b)(1), within three months of the filing date of the application; therefore, no fee is required.

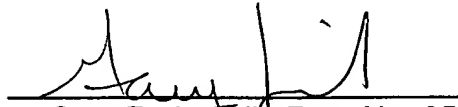
In accordance with 37 C.F.R. §1.98(2)(i) and (ii), a copy of each U.S. patent and publication listed on Form 1449 is enclosed herewith.

In accordance with 37 C.F.R. §1.104, no representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicant reserves the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of MPEP 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Date: September 14, 2001

By:   
Gary R. Jarosik, Reg. No. 35,906  
ALTHEIMER & GRAY  
10 S. Wacker Drive/Suite 4000  
Chicago, Illinois 60606  
(312) 715-4000

Certificate of Mailing: The undersigned hereby certifies that this document and its enclosures are being deposited with the United States Postal Service as first class mail, postage prepaid in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this 17<sup>th</sup> day of September, 2001.

By:   
Name: Lisa Lyle